JAY C. CARLISLE

PROFESSOR EMERITUS PACE UNIVERSITY SCHOOL OF LAW 78 North Broadway White Plains, NY 10603 (914) 422-4234

TEACHING POSITIONS

- Pace University School of Law (1978 1981; 1983-) Asst., Assoc. and Full Tenured Professor of Law, (Assistant Dean, 1978 - 80) Courses: Civil Litigation in New York, Civil Procedure I & II, Advanced Civil Procedure (Seminar) and Professional Responsibility. Also taught: Negotiations, Criminal Procedure, Dispute Resolution, The Legal Profession, Federal Civil Rights Law, Remedies and Evidence. Assumed Emeritus status September 1, 2016 • Fordham University School of Law (1987 - 1988) (1990 - 1991) Adjunct Professor of Law Courses: New York Practice and Civil Procedure. New York Law School (1993 - 2002) Adjunct Professor of Law Courses: New York Practice Quinnipiac University School of Law (2001 – 2006) Adjunct Professor of Law Courses: New York Practice Pace London Program at University College in London • (Spring Semesters 1989 and 2009) Courses: Remedies and Evidence SUNY - Buffalo Law School (1977) • Courses: Professional Responsibility and Legal Ethics.
 - (Also Assistant Dean from 1975 1978)

EDUCATION

- University of California at Los Angeles, A.B. (1965) (<u>Major</u>: History; <u>Minor</u>: Political Science)
- University of California at Los Angeles and University of California at Davis, J.D. (1969)
- University of Exeter, Exeter, England (Summer 1968)

• **Columbia University**, School of International Affairs and Institute of Latin American Studies (1969 – 1970)

BAR

New York (1970) and New Mexico (1972); also admitted to federal district courts in southern, eastern and western districts of New York (1971) and U.S. Court of Appeals for Second Circuit (1975) and U.S. Supreme Court (1975). Active Practice 1970 - 75 and 1981 - 1983. Part-time practice 1975 – 1981 and 1983 to present. Since 1990 Consultant to various law firms and testimony as expert witness including appearances before federal and state courts, the International Court of Arbitration in Paris and the High Court of Appeals in London. Senior Counsel Collier, Halpern, Newberg & Nolletti, LLP, White Plains, N.Y. 10601 (2016 -).

RECENT PUBLICATIONS

(See attached supplemental statement)

- Carlisle, "Jurisdiction" in DECISIONS 2021, published by New York State Trial Lawyers Institute.
- Carlisle, "The Burden of Proof" by Judge Philip Halpern, Westchester Lawyer, Vol. 8, No. 3 (March 2021)
- Carlisle, "Guiliani Suspended from Practice of Law in New York, Westchester Lawyer, Vol. 8. No. 10 (Oct. 2021)
- Carlisle, "Tribute to Henry Miller," Westchester Bar Journal, Vol. 45, No. 1 (Fall 2020)
- Carlisle, "Jurisdiction" in DECISIONS 2019, published by New York State Trial Lawyers Institute.
- Significant Changes in Jurisdiction Over Foreign Corporations in New York, 43 Westchester Bar Journal 9 (2018) (with Matthew Shock)
- The Constitutional Convention and Court Merger In New York State, 38 Pace L. Rev. 69 (2017) (with Matthew Shock)
- The Life and Legacy of Chief Judge Lawrence H. Cooke: "Truly an Exemplary Life. A Life Well Lived" 89 Albany Law Review 1233 (2016/2017). (With Anthony DiPietro)
- Paterno v. Laser Spine Institute: Did The New York Court of Appeals Misapplication of Unjustified Policy Fears Lead to a Miscarriage of Justice and the Creation of Inadequate Precedent for Proper Use of the Empire State's Long Arm Statute, 79 Albany L. Rev. No. 4 1371 (2015/2016). (With C. Murphy)
- "Festschrift for Dean Simon" 35 Pace Law Review 1124 (2015)
- Seeking Justice in the Empire State: Court of Appeals Broadens the Reach of Long Arm Jurisdiction and Clarifies the Statutory Guidelines for Application of CPLR Section 302(A)(1).
 77 Albany Law Review 89 (2014)

- "Reversing Course: A Critique of the Court of Appeals New Rules for Unjust Enrichment and Criminal Legal Malpractice Actions," 76 Albany L. Rev. 1211 (2013).
- "Justice James D. Hopkins: Jurist, Dean and Expert on New York Law" 33 Pace Law Review 3 (2013)
- "Recent Statute of Limitations Developments in the New York Court of Appeals," 30 Pace Law Review 1158 (2010).
- "A Tribute to William F. Harrington (with Ottinger)" 30 Pace Law Review 1140 (2010).
- "Limits on New York Foreclosures", (Lead Article) Vol. 16. No. 2, Verdict (April 2010).
- "Recent Jurisdiction Developments in the New York Court of Appeals," 29 Pace Law Review 417 (2009).
- Carlisle, "Judith S. Kaye" in Roger K. Newman, ed., Yale Biographical Dictionary of American Law (2009).
- <u>Contributing Author</u> for Albany, Brooklyn, Fordham, New York, Pace, Syracuse and Quinnipiac Law Reviews (1986 – 2017).
- <u>Contributing Author</u> for <u>Decisions</u> published by New York State Trial Lawyers Institute (1989-2017).
- <u>Contributing Author</u> for New York State Bar Journal, Westchester County Bar Journal, New York Law Journal, Brooklyn Barrister, CPLR Manual, Practicing Law Institute (1978 – 2017).

LECTURES

(Representative listing)

Lecturer for Practicing Law Institute, New York State Trial Lawyers Institute, N.Y. Office of Court Administration, New York State Judicial Institute, Defense Association of New York, New York State Bar Association, New York County Bar Association, Association of the Bar of the City of New York (New York City Bar), Magistrates Associations of Columbia and Suffolk Counties, Association of New York City Civil Court Judges, New York State Court of Claims Judges, New York State Supreme Court and County Court Justices and Judges, Westchester County District Attorneys Association, Westchester County Bar Association, Orange Bar Association, Columbia County Bar Association, White Plains Bar Association and WMCA Radio. Commentator, New York Practice Audio Alert (monthly audiotape distribute by Practicing Law Institute). (1990 - 1993) Lecturer, New York State Bar Association (1988 -), New York City Bar Association (1989 -)

PROFESSIONAL APPOINTMENTS

(Representative listing)

- Delegate, New York State Bar Association House of Delegates, (2023-)
- Member, Board of Directors for UC Davis (King Hall School of Law) Alumni Board, (2020-)

- *Commissioner*, New York State Law Revision Commission (2009) (Appointed by Governor David A. Patterson)
- *Referee,* New York State Commission on Judicial Conduct (1999)
- *Member,* Committee to Review Audiovisual Coverage of Court Proceedings (1996 -1997) (appointed by Governor George Pataki)
- *Chairperson,* Temporary State Commission on Local Government Ethics Public Advisory Council (1991 1993) (appointed by Governor Mario Cuomo)
- *Member,* New York Task Force on Women and the Courts (1984 1986) (appointed by Chief Judge Lawrence H. Cooke)
- *Member*, Professional Disciplinary Committee, New York State Bar Association (1993 2001)
- *Member*, Committee on Professional Discipline, Association of the Bar of the City of New York (1987 1990)
- *Chairperson,* Committee on Professional Responsibility, Westchester County Bar Association (1987 1990) (Member 1980 1990)
- *Director*, Operation Unite, Hudson, N.Y. (2014)
- Director, Hudson City School District Endowment Fund (2010)
- *Member,* New York State Bar Association, Steven C. Krane Special Committee for Student Loan Assistance for the Public Interest (2014)
- *Co-Chair,* Westchester County Human Rights Commission Rules and Regulations Revision Committee (2012 2014)
- *Member*, Westchester County Bar Association, Judicial Campaign Oversight Committee, (2003 -)
- *Member,* New York City Bar Law & Science Committee (2012 2015)
- *Member*, Board of Directors for Westchester County Bar Foundation (2009 -2017) (Elected Vice-President 2009 2012)
- *Member,* Board of Directors, Special Needs Program, Inc. (2003 2007) *
- *Member,* Board of Editors, Weinstein, Korn & Miller, <u>New York Civil Practice (2003-2009)</u>
- *Member,* Board of Editors, Westchester County Bar Journal (2005 2007)
- *Member,* Pace University Steering Committee for Middle States Accreditation (2006 2009)
- *Member,* President's Commission on Diversity, (Pace University) (2003 2005)
- *Member,* Pace University Presidential Search Committee (1999 2000)
- *Member,* Pace University Board of Trustees Strategic and Long-Range Planning Committee (1998 2001)
- *Member,* New York State Bar Association, Special Committee on Procedures for Judicial Discipline (2001-)
- *Alt. Member*, Yonkers Civilian Police Review Board (1993 1995)
- *Vice Chairperson,* Section on Legal Education, General Practice Section of American Bar Association (1978 1980)
- Member, Deans Advisory Council, University of California at Davis School of Law (1991 -1996)
- Special Master, Supreme Court of New York County. (1980)
- *Chairperson,* Westchester County Bar Association, Liaison with Pace University School of Law (2000)

- *Director*, Pace University School of Law, Office of Continuing Legal Education (1983 1989)
- *Director and Officer*, White Plains Bar Association (1991 1998)
- *Chairperson,* Committee on Continuing Legal Education for Westchester County Bar Association (1987) (Co-Chair 1990 1996)
- Member, Ninth Judicial District Task Force on Gender Fairness (1991-)
- Member, Second Circuit Task Force (sub-committee on Gender Fairness) (1994 1998)
- *Member,* Committee on Federal Courts, Association of the Bar of the City of New York (1991 1994)
- *Member*, CPLR Committee, New York State Bar Association (1985 1990)
- *Member,* Special Committee to Encourage Judicial Service, Association of the Bar of the City of New York (1991 2000)
- President, Brooklyn Chapter of the New York Civil Liberties Union (1987 1988)
- *President,* Hudson Rotary Club; Rotary Group Study Exchange Leader to Mexico (2000) Paul Harris Fellow and Major Donor
- *Vice-Chairman,* New Lawyers Committee, General Practice Section of American Bar Association (1978 1980)
- *Arbitrator*, American Arbitration Association (1978 1988)

HONORS AND AWARDS

- Charles and Homer Pace Faculty Award, 2023.
- Richard Ottinger Faculty Award, 2017.
- Co-Recipient with Chief Judge of New York, Janet DiFiore, of the Pace Law School Distinguished Service Award 2017.
- Proclamation from Westchester County Executive: March 8, 2017 Prof. Jay C. Carlisle Recognition Day in Westchester County.
- Selected by New York Law Journal as 2015 "Lawyer Who Leads by Example in Public Service"
- Appointed to University of California Peter J. Shields Society by Chancellor Linda Katehi
- Appointed to New York statewide commissions by three New York State governors and one New York State chief judge.
- Elected, Life Fellow of the American Bar Foundation
- Elected, Life Fellow of the New York Bar Foundation
- Elected, Board of Directors and Vice-President for Westchester County Bar Association Foundation
- ALI-ABA Harrison Tweed Special Merit Award for Contributions to Continuing Legal Education
- New York State Trial Lawyers Association, "Academic Excellence Award" (For 25 years of educating New York's Trial Lawyers)
- Westchester County Bar Foundation "Outstanding Educator and Scholar Award"
- Westchester County Executive Award for Outstanding Citizenship
- Elected Honorary Member Columbian Lawyer Association, First Department
- Barbara Salken Outstanding Professor Award (1990, 1997 and 2013)

- 2001 Commencement Speaker, Pace University School of Law
- Paul Harris Fellow, Rotary Foundation (Major Donor)
- Awards for Pro Bono Contributions, New York County Bar Association
- Award for Service as Chairperson of Committee on Professional Responsibility of Westchester County Bar Association
- Award from Deputy Administrative Judge, Supreme Court of New York for Service as Special Master
- Award from LexisNexis for 5 Years' Service on Board of Editors for Weinstein, Korn & Miller, New York Civil Practice, 2nd edition
- Pace University Law School Alumni Award for Outstanding Service
- Pace University Presidents Award for Excellence
- SUNY Buffalo Law School Alumni Award for Outstanding Service
- Who's Who in Education (multiple editions)
- Who's Who in the East (multiple editions)
- Who's Who in America (multiple editions)
- Who's Who in the World (multiple editions)

SUPPLEMENTAL

(Representative Listing of Publications)

ARTICLES

- "Happy Anniversary CPLR" NYSBA Journal (2013)
- "US Supreme Court Restricts Jurisdiction" Westchester Bar Newsletter, March, 2012
- "Res Judicata Developments" <u>Vol. 24, No. 2, Quinnipiac L. Rev. 351</u> (2006).
- "The American Bar Associations New Model Rules, <u>Vol. 31, No. 1, Westchester Bar Journal</u> 2004
- "Graduation Remarks", <u>22 Pace L. Rev. 385 (</u>2002).
- "Jurisdiction Developments" <u>21 Quinnipiac L. Rev. 15 (2001).</u>
- "When A Client Threatens Suicide: The Duty Not to Disclose", New York <u>Professional Responsibility Report</u>, September 2001.
- "Res Judicata Developments" <u>20 Quinnipiac L. Rev. 75 (2000).</u>
- "Getting a Full Bite of the Apple: When Should the Doctrine of Issue Preclusion Make an Administrative or Arbitral Determination Binding in a Court of Law." <u>55 Fordham L. Rev. 65.</u> (<u>1986</u>).
- "Nonparty Document Discovery from Corporate or Government Entities under the Federal Rules of Civil Procedure", <u>31 New York L. Rev. 9. (1987)</u>.
- "Synopsis of the Report of the Second Circuit Task Force on Gender, Racial and
- Ethnic Fairness in the Courts," <u>19 Pace Law Review 431</u>. (1998).
- "Civil Practice Comparative Negligence," <u>37 Syracuse L. Rev. 29</u>. (1986).
- "A Simplified Procedure for Dispute Resolution Under the Civil Practice Laws and Rules," <u>54</u> <u>Brooklyn L.Rev. 95</u>. (1988).
- "An Open Courtroom: Should Cameras Be Permitted in New York State Courts," <u>Vol. 18, No.2</u> <u>Pace Law Review 297. (1997)</u>.

- "Annual Survey on New York Civil Practice, <u>38 Syracuse L.Rev. 67</u>. (1987).
- "Annual Survey on New York Civil Practice," <u>39 Syracuse L.Rev. 75</u>. (1988).
- "Annual Survey on New York Civil Practice," <u>40 Syracuse L.Rev. 1. (1989).</u>
- "Annual Survey on New York Civil Practice," <u>41 Syracuse L.Rev. 63.</u> (1990).
- "Annual Survey on New York Civil Practice," <u>42 Syracuse L.Rev. 343</u>. (1991).
- "Annual Survey on New York Civil Practice," <u>43 Syracuse L.Rev. 105</u>. (1992).
- "Annual Survey on New York Civil Practice," <u>44 Syracuse L.Rev. 801</u>. (1993).
- "Trying Cases, A Life in the Law" <u>9 Windsor Review of Legal and Social Issues154</u> (1999).

<u>REVISIONS, SUPPLEMENTS, ANNOTATIONS, BOOKS, AND</u> <u>CONTRIBUTIONS TO BOOKS</u>

- Past Member, Board of Editors, Weinstein, Korn & Miller, <u>New York Civil Practice</u>, Volumes 1 14 (2nd edition).
- Carlisle, Weinstein, Korn & Miller, <u>New York Civil Practice</u>, Volume II (2d edition, 2005) (Review Author for Article III) (614 pages).
- Carlisle, <u>Civil Pretrial Proceedings in New York</u> (2 volume treatise published by West Group) (1999 & 2000 volumes,) (co-editor) (also Supplements for 2001, 2002 and 2003).
- Carlisle, <u>New York Litigation Forms</u> (5 volume Treatise published by Lawyers Co-Op 1995) (coeditor). (Also Supplements for 1996, 1997 and 1998).
- Carlisle, <u>Introduction to New York Civil Practice</u> (Practicing Law Institute 1993, 1994 & 1995 editions) (Co-Editor).
- Weinstein, Korn & Miller, <u>New York Civil Practice</u>, Vol. I VIII, Co-Author for 1986 Supplements.
- Weinstein, Korn & Miller, <u>New York Civil Practice</u>, Vol. I VIII, Author for 1987 Supplements.
- Weinstein, Korn & Miller, <u>New York Civil Practice</u>, Vol. I VIII, Author for 1988 Supplements.
- Weinstein, Korn & Miller, <u>New York Civil Practice</u>, (Revision Author for Selected Articles in Volumes 1, 2A, 3, 5 and 8.
- DECISIONS 1993, 1994, 1995 and 1996, 1997, 1998, 1999, 2000 and 2001, 2002, 2003, 2004, 2005, 2006, 2007, 2008, 2009, 2010, 2011, 2012 2013 2014 2015 and 2016) (New York State Trial Lawyers Institute) (Contributing Author).
- O. Chase, CPLR MANUAL, (Contributing Author, Chapters II & III, 1986 Ed.). (Also, in selected subsequent editions).

OTHER PUBLICATIONS

(Representative Listing)

- "Graduation Remarks", Vol. 28, No. 2 Westchester County Bar Journal 143 (2002).
- Tribute to Vincent L. Broderick, 16 Pace Law Review 209 (1996).
- New York Appellate Practice. 23 Westchester County Bar Journal No. 3 (1996).
- "Professional Responsibility." "Chief Judge's Proposals for Changes in the Legal Profession" 22 Westchester County Bar Journal, No. 3 & 4 (1995).
- "Statute of Limitations", N.Y.L.J. June 6, 1994, at 1, Col. 1.
- "The Legal Profession" N.Y.L.J. October 3, 1994 (Special Supp. on N.Y. Court of Appeals, S-10).

- "The Legal Profession" N.Y.L.J. November 1, 1993 (Special Supplement on N.Y. Court of Appeals S-10).
- "Long Arm Jurisdiction in New York", N.Y.L.J. April 5, 1993, at 1, col. 1.
- "Attorney Fees under Condo Co-op Abuse Relief Act" New York Law Journal, July 21, 1993 at 1.
- "OCA Sanction Materials" (Office of Court Administration 1992).
- "The Legal Profession" N.Y.L.J. November 2, 1992 (Special Supplement on N.Y. Court of Appeals S-21).
- "Jurisdiction" 1992, N.Y.S.T.L.I. (Decisions Manual).
- "Recent Changes in Federal Practices," One on One, N.Y.S.B.A., Vol. 13, No. 2, Summer 1992, at 17.
- "Avoiding The Chancellors Boot: Application of Sanctions in Federal Practice", Vol. 18, No. 3 Westchester County Bar Journal (December 1991) (Lead Article).
- "The Legal Profession," N.Y.L.J. October 15, 1991 (Special Supplement on N.Y. Court of Appeals (S 9).
- "1991 New York Practice" (Practicing Law Institute) (October 1991) (Contributing Author).
 "Simplified Dispute Resolution in New York," N.Y.L.J., August 15, 1991, p 1, col. 1. 7.
- "Issue Preclusion Effect of Criminal Convictions in Subsequent Civil Litigation", 17 Westchester County Bar Journal, No. 3, 207 (1990).
- "English White Paper Law Reforms: An Outline for Equal Access to Justice", 62 New York State Bar Journal 54 (January 1990).
- "Administrative and Arbitral Issue Preclusion in Subsequent Judicial Proceedings", 16 Westchester County Bar Journal No.4, 263 (1989) (Lead Article).
- "White Paper: An Outline for Improved Access to Justice," N.Y.L.J. August 7, 1989, p.2.
- "Senior English Judges Attack Legal Reforms", N.Y.L.J. May 30, 1989, p.2.
- "Update: Sections for Frivolous Litigation & New Requirements on Attorneys Escrow Records," 16 Westchester Bar Journal 25 (Winter 1988 - 1989).
- "House of Lords Debate Drastic Legal Reforms", N.Y.L.J. April 12, 1989, p.2.
- "Radical Changes Proposed in English Legal System", N.Y.L.J. Feb. 3, 1989, p.2.
- "No Fault Automobile Accident Law", (Book Review) 60 N.Y.S.B. Journal, 57 (December, 1988).
- "An Introduction to the Committee on Professional Ethics for the Westchester County Bar Association," 15 Westchester County Bar Journal 303 (Fall 1988).
- "Chief Judge Cardozo: Sixty Years Later," 59 New York State Bar Journal (January, 1988).
- "Simplified Dispute Resolution," Brooklyn Barrister, Vol. 39, No.1 (1987) (Lead Article).
- "Attorney's Fees Sanctions for Frivolous Claims and Defenses Filed in Tort Cases", Westchester County Bar Journal, Vol. 14, No. 3 (1987) (Lead Article).
- "Ex Parte Communication by the Judiciary," 58 New York State Bar Journal 12 (November, 1986).
- "How to Find the Courthouse," American Bar Association, 1979 (Contributing Author).
- "How to Find a Law Position," New York Law Journal, May 12, 1978, p.2 (Book Review).
- "Nontraditional Career Alternatives for Law Graduates," 50 New York State Bar Journal 16 (February 1978).
- "Law Graduates Do Not Have to Practice Law", New York Law Journal, Vol. 178, No. 6, (July 11, 1977) p.1, Cols. 2-4.
- "Unemployment and Transportation", Popular Library 1967 (Contributing Author).

 Author for over 50 briefs before New York State and Federal Appellate Courts. Published opinions in New York State and Federal Courts including federal opinions in Pennsylvania, Delaware, Michigan and state courts in New Mexico. Also, author for over 200 Continuing Legal Education manuscripts.